# Monad P3 : Mutability and Strictness (1C)

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Young Won Lim 6/13/20 Haskell in 5 steps

https://wiki.haskell.org/Haskell\_in\_5\_steps

## Mutability

## Mutability demanding cases

- When a library written in another language which assumes <u>mutable state</u>. is called in Haskell eg) event-callback GUI toolkits.
- 2) Using Haskell to implement a **language** that provides <u>imperative-style mutable variables</u>.
- 3) Implementing **algorithms** that <u>inherently</u> require <u>destructive updates</u> to variables.
- 4) Dealing with volumes of <u>bulk data</u> massive enough to justify <u>squeezing</u> every drop of computational power available to make the problem at hand feasible.

## External and internal demands on mutability

#### external demands can impose mutability on the code

- **library** written in non-functional language (1)
- **language** with imperative style variable (2)

internal demands can impose mutability on the code

- **algorithms** may require **mutability** (3)
- **extreme** computational demands (4)

these do not include all the cases

## Sorting problem

**sorting** a list does <u>not require</u> **mutability** in any essential way,

a function that sorts a list and returns a new list,

should be **functionally pure** 

even if the sorting algorithm uses

#### destructive updates

to <u>swap</u> the position of the elements.

In such case, the **mutability** is just an **implementation** detail.

## Functional purity and mutability

Keeping **functional purity** even though the **mutability** is allowed in an **implementation detail**.

The standard libraries provide **ST monad** as a <u>nifty tool</u> for handling such situations while maintaining **pure functions** 

> the ST monad in Control.Monad.ST allows mutability keeps functional purity

## Temporary and local mutable effects

ST monad allows temporary and local mutable effects.
Because of the way that ST monad is implemented,
none of the effects can be visible from outside of a function
with the the same input, the function always has the same output. (purity)

#### Mutable Data Structure

Mutable data structures can be found in the libraries

**mutable arrays** (alongside with **immutable arrays**) in the **vector package** or the **array package** bundled with GHC

There are also **mutable hash tables**, such as those from the **hashtables package**.

In all cases mentioned,

both ST and IO versions are provided.

## **Mutability Haskell Approaches**

to sort more efficiently a vector,

allow mutable access

instead of using only pure operations.

Haskell has two approaches for mutable access

1) mutable data structures

2) mutable copy

https://www.snoyman.com/blog/2017/12/what-makes-haskell-unique

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### Mutable data structure approach

The first is the ability to explicitly **create mutable data structures**, and **mutate** them <u>in place</u>. (**mutable arrays**)

if you <u>need</u> the performance, it's <u>available</u>.

unlike mutable-by-default approaches,

you now know exactly which pieces of data you need to handle with care

when coding to avoid tripping yourself up.

## Mutable copy approach

The other approach is to **create** a **mutable copy** of the original data, perform your **mutable algorithm** on it,

then freeze the new copy

into an immutable version.

While this approach requires an **extra memory buffer** an **extra copy** of the elements in the vector, it avoids completely the worries of your data being changed behind your back.

## Mutable copy approach – sorting examples

```
sortMutable :: MutableVector a -> ST (MutableVector a)
```

**sortMutable = ...** -- normal sorting algorithm

```
sortImmutable :: Vector a -> Vector a
sortImmutable orig = runST $ do
mutable <- newMutableVector (length orig)
copyValues orig mutable
sort mutable
freeze mutable
```

## Two phase arrays (Mutable copy approach)

An immutable array cannot directly update it elements in-place

**semantically simplicity** of **immutable array** allow efficient <u>indexed</u>-based <u>array</u> construction for **mutable** arrays.

Hence, computationally demanding Haskell array code typically adopts a **two-phase array** life cycle:

(1) arrays are allocated as **mutable** arrays and **initialised** using <u>in-place array update;</u>

(2) they are **frozen** by making them **immutable**, once **initialised**,

### Immutable array type

to implement custom array algorithms

Haskell has a simple array API in the **Data.Array** module. These are **immutable**, **boxed**, and **non-strict**.

This allows for the elegant, high-level description of many array algorithms, But **boxing** and **non-strictness** give suboptimal performances

for <u>compute-intensive applications</u>

## Mutable array types

Mutable arrays come in various flavours, distinguished by the monad in which the array operations take place. Usually, either IO or ST, and the array package provides both boxed and unboxed variants for both monads.

mutable boxed	IOArray	
mutable unboxed	<b>IOU</b> Array	
mutable boxed	<b>ST</b> Array	
mutable unboxed	STUArray.	

#### Two phase array usage example

The above definition of generate uses **STUArray** to **initialise** the array, and then, **freezes** it into a **UArray**, which is returned.

<b>STU</b> Array	Mutable Unboxed Array
<b>U</b> array	Immutable Unboxed Array

The choice of **STUArray** is implicit in the use of **runSTUArray**, which executes the code in the state transformer **monad ST** and **freezes** the **STUArray** into a **UArray** 

Boxed vs. Unboxed

### **Boxed Arrays**

#### For lazy evaluation,

values are represented at runtime as pointers to either their value, orcode for computing their value.

#### Box :

this extra level of **indirection** any **extra tags** needed by the runtime

The default boxed arrays consist of

many of these boxes, each of which may compute its value separately.

https://www.tweag.io/posts/2017-09-27-array-package.html

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#### **Boxed** representation

the **expressiveness** of **non-strict** arrays comes at a price, especially if the array elements are simple numbers (**values**).

Instead of <u>direct storing</u> those numeric elements, **non-strict** arrays require a **boxed** representation

the **elements** are **pointers** to **heap objects** containing the **numeric values**.

This **additional indirection** requires extra **memory** and drastically <u>reduces</u> the **efficiency** of array access, especially in **tight loops**.



### Boxed Arrays – pros and cons

allow recursively defining

an array's elements in terms of one another

can compute only the **specific elements** of the array which are ever <u>needed</u>

for large arrays, it <u>costs</u> a lot in terms of overhead, and if the entire array is always needed, it can be a waste.

https://www.tweag.io/posts/2017-09-27-array-package.html

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### **Unboxed Arrays**

Unboxed arrays are more like arrays in C they contain just the plain values without this extra level of indirection,

an array of 1024 values of type Int32 will use only 4 KB (=4\*1024) of memory.

https://www.tweag.io/posts/2017-09-27-array-package.html

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## Unboxed Arrays – pros and cons

**indexing** of **unboxed arrays** can be significantly <u>faster</u>. can only have **plain values** having a **fixed size** can <u>not</u> have **types** defined with **variable size** 

without the extra level of indirection,

**<u>all</u>** of the elements must be <u>evaluated</u>, when the array is evaluated,

no benefits of lazy evaluation.

can <u>not</u> **define recursively** the array elements in terms of each other

### Non-strict boxed vs. Strict unboxed arrays

While boxed representation can be used inboth strict and non-strict data structures.Generally non-strict structures typically require boxing.

non-strict boxed strict unboxed

Data.Array.IArray.IArray Data.Array.Unboxed.Uarray

#### the unboxed array element type

is restricted to **basic types** (fixed size) such as **integral** and **floating-point** numeric types Immutable Immutable

## Applications which require non-strictness

#### wavefront example

the recursive definition of the array arr.

arr!(i,j-1) + arr!(i-1,j-1) + arr!(i-1,j)

the elements are accessed

to the left, top, and top-left of the current one

Such a **recursive dependency** is only valid for a **non-strict** data structure. **non-strict boxed** 

## Strict v.s Non-strict (Lazy)

## Strict (Eager) Evaluation

Strict evaluation, or eager evaluation

expressions are evaluated

as soon as they are bound to a variable.

with strict evaluation,

when **x** = **3** \* **7** is read,

**3 \* 7** is immediately computed

and **21** is bound to **x**.

https://en.wikibooks.org/wiki/Haskell/Strictness

## Lazy (Non-strict) Evaluation

Conversely, with **lazy evaluation** values are <u>computed</u> <u>only when</u> they are <u>needed</u>.

In the example x = 3 \* 7,

**3 \* 7** will <u>not</u> be <u>evaluated</u> <u>until</u> it's needed, like if you needed to output the value of x.

https://en.wikibooks.org/wiki/Haskell/Strictness

## Function call and argument evaluation



https://www.reddit.com/r/haskellquestions/comments/6xk5hv/the\_sequence\_function/

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## Prime expression in Haskell

```
The prime (') is treated like any number in variable names,
i.e. unless it's at the beginning you can use it just like a letter.
Hence names such as foldl';
generally those will refer some kind of "alternative" of a similar thing,
But surrounding a function with backticks
lets you use it like an infix operator, e.g.
plus :: Int -> Int -> Int
plus = (+)
  Prelude> 4 plus 5
  9
```

https://stackoverflow.com/questions/22873663/what-does-prime-mean-in-haskell

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### Lazy Evaluation

**lazy evaluation** : a core feature of GHC

It <u>doesn't</u> matter whether you have **monads** or anything involved.

**foo** (x + 1, bar(3, 7));

Haskell just packages up each argument in a data structure containing everything needed to compute it later, and passes those data structures into foo,

they (data structiure: **thunks**) are <u>only</u> evaluated if **foo** <u>requires</u> <u>access</u> to their values.



https://www.reddit.com/r/haskellquestions/comments/6xk5hv/the\_sequence\_function/

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## Lazy evaluation of an infinite list

lazy evaluation is applied almost everywhere in Haskel

takeWhile (\x -> x < 4) [1..]

can returns **[1,2,3]** without getting stuck evaluating the infinite list, with no monads involved.

> takeWhile :: (a -> Bool) -> [a] -> [a] creates a list from another one, it inspects the original list and takes from it its elements to the moment when the condition <u>fails</u>, then it <u>stops</u> processing

https://www.reddit.com/r/haskellquestions/comments/6xk5hv/the\_sequence\_function/

## Lazy evaluation in function calls – thunks

#### By default, Haskell uses lazy evaluation

when you <u>call</u> a function, the <u>body won't</u> execute <u>immediately</u>, rather it will <u>return something</u> (thunks) that <u>represents executing the body</u>.

The body will only be <u>actually executed</u> when the **result** of the function is <u>used</u> in an **IO computation**,

> either directly or via being used in another function or chain of functions that is used in an **IO computation**.

thunks unevaluated function executions

https://www.reddit.com/r/programming/comments/3sux1d/strict\_haskell\_xstrict\_has\_landed/

## Strict evaluation for performance

Having <u>unevaluated function executions</u> (**thunks**) makes it harder to reason about **memory usage** and **performance**.

**Bookkeeping** for these thunks can also impose a slight **performance penalty**.

**Strict Haskell** gives Haskell <u>strict</u> evaluation, which is the kind of evaluation <u>most</u> other languages have, and hence makes it easier to reason about **performance**. strict evaluation Iazy evaluation ...... thunks

https://www.reddit.com/r/programming/comments/3sux1d/strict\_haskell\_xstrict\_has\_landed/

### Performance issues of laziness

Haskell is a non-strict language, and most implementations use a strategy called laziness to run your program.

laziness = non-strictness + sharing

Laziness can be a useful tool

for improving performance,

but sometimes it reduces performance

by adding a constant overhead to everything.

https://wiki.haskell.org/Performance/Strictness
# Sharing

**Sharing** means that **temporary data** is <u>physically stored</u>, if it is <u>used multiple times</u>.

let x = sin 2

in x\*x

x is used <u>twice</u> as factor in the product x\*x.

Due to **referential transparency** it does not play a role, whether **sin 2** is computed <u>twice</u> or whether it is computed <u>once</u> and

the result is stored and reused.

https://wiki.haskell.org/Performance/Strictness

# Sharing when computation is cheap

However, when you let the Haskell compiler decide whether to compute or to store the result.

sharing can be the wrong way,

if a computation is cheap but storing the result is huge.

```
[0..1000000] ++ [0..1000000]
```

where it is much cheaper to compute the list of numbers than to store it with full length.

https://wiki.haskell.org/Performance/Strictness

### Cost of thunks

Because of **laziness**, the <u>compiler can't</u> <u>evaluate</u> a **function argument** and <u>pass</u> the value to the function,

it has to <u>record</u> the <u>expression</u> in the **heap** in a **suspension** (or **thunk**) in case it is <u>evaluated later</u>.

storing and evaluating **suspensions** is <u>costly</u>, and <u>unnecessary</u> if the expression was going to be evaluated anyway.

https://wiki.haskell.org/Performance/Strictness

### **Non-Strict and Strict Semantics**

An expression language is said to have

#### non-strict semantics

if expressions can <u>have</u> a <u>value</u> even if <u>some</u> of their <u>subexpressions</u> <u>do not</u>.

#### strict semantics

if <u>any</u> subexpression <u>fail</u> to have a <u>value</u>, the whole expression fails with it.

Haskell has non-strict semantics by default: nearly every other language has strict semantics

	sub1	sub2	sub3	sub4

https://wiki.haskell.org/Non-strict\_semantics

### **Strict Semantics**

#### strict semantics

the opposite of **non-strict semantics**.

an <u>undefined</u> <u>argument</u> of a function

leads to an <u>undefined</u> <u>function</u> <u>value</u>.

forall f. f undefined =		= ι	undefined	
	argument	r	eturned value	

It may be implemented by eager evaluation.

https://wiki.haskell.org/Sstrict\_semantics

# Order of lazy evaluations

To evaluate an expression,

replace all **function applications** by their **definitions**.

The order in which you do this

does not matter much, but it's still important:

start with the <u>outermost</u> application and proceed from left to right;

this is called **lazy evaluation**.

https://stackoverflow.com/questions/6872898/what-is-weak-head-normal-form

### Order of evaluations – strict vs. non-strict

Non-strictness means that reduction (the mathematical term for evaluation) proceeds from the outside in, so if you have (a+(b\*c)) then first you reduce the +, then you reduce the inner (b\*c).

**Strict languages** work the other way around, starting with the <u>innermost</u> brackets and working <u>outwards</u>.



### Order of evaluations – the bottom value

<b>Direction of evaluation</b> matters to the <b>semantics</b> Consider an expression that evaluates to <b>bottom</b>			(i.e. an error or endless loop)
	any <b>strict</b> language	(Strict case)	
	that <u>starts</u> at the <u>inside</u> and works <u>outwards</u>		
	will <u>always</u> find that <b>bottom</b> value,		Non-strict
	and hence the <b>bottom</b> will <u>propagate</u> <u>outwards</u> .		((((())))))
			Strict
	if you <u>start</u> from the <u>outside</u> and work <u>inside</u>	(Non-strict case)	
	then some of the sub-expressions		
	are <u>eliminated</u> by the outer <b>reductions</b> ,		
	so they <u>may not be evaluated</u> and		
	you don't get bottom		

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### Order of evaluations – the bottom value



### Lazy evaluation – thunks

#### Lazy evaluation means

only evaluating an expression **when** its results are <u>needed</u> (note the shift from "**reduction**" to "**evaluation**").

So when the <u>evaluation engine</u> sees an expression it builds a **thunk** <u>data structure</u> containing whatever **values** are <u>needed</u> to evaluate the expression, plus a **pointer** to the expression itself.

When the result is actually <u>needed</u> the <u>evaluation engine</u> **calls** the expression and then **replaces** the thunk with the result for future reference.

https://wiki.haskell.org/Lazy\_vs.\_non-strict

### Lazy vs. non-strict

Obviously there is a strong correspondence between a **thunk** and a **partial evaluation** 

Hence in most cases the terms **lazy** and **non-strict** are synonyms.

But not quite. For instance you could imagine an <u>evaluation engine</u> on highly <u>parallel</u> hardware that fires off <u>sub-expression evaluation</u> <u>eagerly</u>, but then *throws away* results that are <u>not needed</u>.

# Strictness in pattern matching

In practice Haskell is <u>not</u> a <u>purely</u> **lazy language**:

for instance pattern matching is usually strict

So trying a pattern match <u>forces</u> evaluation to happen at least far enough to <u>accept</u> or <u>reject</u> the match. you can prepend a ~ in order to make pattern matches **lazy** 

### Strictness in strictness analyzer

In practice Haskell is <u>not</u> a <u>purely</u> **lazy language**:

the strictness analyzer also looks for cases

where <u>sub-expressions</u> are always

required by the outer expression,

and converts those into eager evaluation.

It can do this because

the **semantics** (in terms of "bottom") don't change.

# Seq

Programmers can also use the seq primitive
to force an expression to evaluate regardless of
whether the result will ever be used.
\$! is defined in terms of seq.

https://wiki.haskell.org/Lazy\_vs.\_non-strict

\$! is strict application,

f \$! x = x `seq` f x

Consider the following example do state1 <- act state dispatch \$! state1

the difference from **dispatch state1** is that **state1** is <u>guaranteed</u> to be <u>evaluated</u> and not just kept as a **lazy thunk**.

forcing evaluation in this way can be important for efficiency issues, such as preventing memory leaks.

https://stackoverflow.com/questions/25987726/what-does-mean-do-in-haskell

Non-strict refers to semantics: the mathematical meaning of an expression. The world to which non-strict applies has no concept of the running time of a function, memory consumption, or even a computer. It simply talks about what kinds of values in the domain map to which kinds of values in the codomain. In particular, a strict function must map the value  $\perp$  ("bottom" -- see the semantics link above for more about this) to  $\perp$ ; a non strict function is allowed not to do this.

https://stackoverflow.com/questions/7140978/haskell-how-does-non-strict-and-lazy-differ



Lazy refers to operational behavior: the way code is executed on a real computer. Most programmers think of programs operationally, so this is probably what you are thinking. Lazy evaluation refers to implementation using thunks -- pointers to code which are replaced with a value the first time they are executed. Notice the non-semantic words here: "pointer", "first time", "executed".

https://stackoverflow.com/questions/7140978/haskell-how-does-non-strict-and-lazy-differ

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Lazy evaluation gives rise to non-strict semantics, which is why the concepts seem so close together. But as FUZxxI points out, laziness is not the only way to implement non-strict semantics.

https://stackoverflow.com/questions/7140978/haskell-how-does-non-strict-and-lazy-differ

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### References

- [1] ftp://ftp.geoinfo.tuwien.ac.at/navratil/HaskellTutorial.pdf
- [2] https://www.umiacs.umd.edu/~hal/docs/daume02yaht.pdf